



04-22-02 H

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#4

IN RE:

APPLICATION OF : Howard V. Perlmutter
TITLE : METHOD FOR PREDICTING AND
SERIAL NO. : 10/006,033
FILING DATE : November 16, 2001
ATTORNEY DOCKET NO. : 5359-5.2

TO: Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

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EXPRESS MAIL CERTIFICATE

Express Mail Mailing Label No.: EU054447785US

Date of Deposit: April 19, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on April 19, 2002 and is addressed to Box MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231.

4/19/2002
Date

Judith M. Bateman
Judith M. Bateman

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TRANSMITTAL LETTER ACCOMPANYING MISSING PARTS FILING

Dear Sir:

Transmitted herewith is the Missing Parts Filing (2 pages) in the above-captioned application.

[XX] Small Entity status of this application pursuant to 37 C.F.R. §1.9(f) and §1.27(b) has been established.

04/23/2002 SDENB0B1 00000054 10006033

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55.00 OP

A Verified Statement to establish Small Entity status pursuant to 37 C.F.R. § 1.9(f) and § 1.27(b) is enclosed.

It is believed that no extension of term is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a Petition for Extension of Time under 37 C.F.R. § 1.136(a).

Applicant petitions for an Extension of Time under 37 C.F.R. §1.136 (fees: 37 C.F.R. §1.17(a)-(d)) for the total number of months checked below:

<u>Extension Month(s)</u>	<u>Fee For Other Than Small Entity</u>	<u>Fee For Small Entity</u>
[XXX] 1 month	\$110.00	\$ 55.00
[] 2 months	\$390.00	\$195.00
[] 3 months	\$890.00	\$445.00
[] 4 months	\$1,390.00	\$695.00
[] 5 months	\$1,890.00	\$945.00

Part 2 of "Notice to File Missing Parts of Nonprovisional Application" dated 01/02/2002 (2 pgs.)

Declaration and Power of Attorney for the United States Patent Application executed by the Applicant (3 pgs.)

_____ sheets of substitute drawings in compliance with 37 CFR 1.84.

An Information Disclosure Statement Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98 (pgs.) is enclosed.

Form PTO-1449

References

A postage-prepaid, self-addressed postcard to acknowledge receipt of this communication is enclosed.

Other

The claim fee has been calculated as shown below:

(Column 1)		(Column 2)		(Column 3)	SMALL ENTITY	OR	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TION- AL FEE	RATE
TOT	22	Minus	20	= 2	x 9 =	\$18	x 18 = \$
IND	2	Minus	3	= 0	x 39 =	\$0	x 78 = \$
FIRST PRESENTATION OF MULT. DEP. CLAIMS							
					+ 130=	\$0	+ 260= \$
					TOTAL	\$0	OR TOTAL \$

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

**If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

No additional claim fee is required.

Please charge my Deposit Account No. _____ in the amount of \$ _____. Two copies of this transmittal are attached.

Check No. _____ in the amount of \$ _____ to cover the small entity filing fee \$370 and the surcharge \$65 is enclosed.

Credit Card Payment Form (PTO-2038) for the payment of \$138 to cover the small entity filing fee for two (2) additional claims \$18, the surcharge \$65 and a one month extension fee \$55 is enclosed.

[] The Commissioner is hereby authorized to charge any deficiency in fees associated with this communication, or credit any overpayment, to Deposit Account No. . Two copies of this transmittal are attached.

Respectfully submitted,

Robert S. Lipton, Esquire
Registration No. 25,403

Date

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,033	11/16/2001	Howard V. Perlmutter	5359-5.2

CONFIRMATION NO. 9557

FORMALITIES LETTER



OC00000007351355

Robert S. Lipton
LIPTON, WEINBERGER & HUSICK
P.O. Box 934
201 North Jackson Street
Media, PA 19063

Date Mailed: 01/24/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$18.
 - \$18 for 2 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 83.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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02 FC:205